# **Application Submission Form**

Date: *[insert day, month, and year]*

IPC No. and title: *[insert IPC number and title]*

To: *[insert full name of Employer]*

We, the undersigned, apply to be prequalified for the referenced IPC and declare that:

1. We have examined and have no reservations to the Prequalification Documents, including Addendum(s) No(s). *[insert the number and issuing date of each addendum]*, issued in accordance with Instructions to Applicants (ITA 8);
2. We have no conflict of interest in accordance with ITA 4.4;
3. We have not been suspended nor declared ineligible by the Employer based on execution of a Bid Securing Declaration in the Employer’s country in accordance with ITA 4.5;
4. We understand that you reserve the right to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name:
*[insert full name of person signing the Application]*

In the capacity of:
*[insert capacity of person signing the Application]*

Duly authorized to sign the Application for and on behalf of:

Applicant's name:
*[insert full name of Applicant or the name of the JV]*

Address:
*[insert street number/town or city/country address]*

Dated on: day of: year:

*[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached. In case of a letter of intent to form a joint venture, it shall be attached and all members shall sign it.]*

# **Statement of Integrity, Eligibility and Environmental and Social Responsibility**

Reference name of the Bid/Proposal/Contract signed[[1]](#footnote-1) (the **“Contract”**)

To: (the **“Contracting Authority”**)

1. We recognize and accept that Agence Française de Développement (“**AFD**”) only finances the projects of the Contracting Authority subject to its own conditions, as set out in the Financing Agreement that directly or indirectly binds it to the Contracting Authority. The Contracting Authority retains exclusive responsibility for the preparation and implementation of the procurement process and performance of the Contract. Consequently, no legal exists between AFD and our company, our joint venture, and our subcontractors. The Contracting Authority may also mean the Client, Employer or Purchaser, as the case may be, for the procurement of works, goods, plants, equipment, consulting services , or non-consulting services.
2. We hereby certify that neither we, nor any person acting on our behalf,[[2]](#footnote-2) nor any of the members of our joint venture, nor any of our subcontractors, are in any of the following situations:

2.1 Being bankrupt, wound up or ceasing our activities, having our activities administered by the courts, having entered into receivership, or being in any analogous situation arising from any similar procedure;

2.2 Having been, within the past five years, subject to a final administrative sanction, a final conviction issued by a competent authority, or any other non-court resolution[[3]](#footnote-3) having notably an extinctive effect on public action, either (i) in the country where we are constituted, (ii) in the country of performance of the Contract, (iii) in the context of the procurement or performance of an AFD-financed Contract, (iv) pronounced by a European Union institution, or (v) pronounced by a competent authority in France, for:

1. Prohibited Practices, as defined in Article 6.1 below, or for any other offence committed in the context of the procurement or performance of a Contract (in the event of such sanction, conviction or non-court resolution, we may attach additional information to this Statement of Integrity, such as a compliance program, showing that we (or the person acting on our behalf, the member of our joint venture, or our subcontractor) consider that this sanction, judgement or non-court resolution is not relevant in the context of the Contract, where applicable);
2. Participation in a criminal organization, terrorist offences or offences related to terrorist activities, child labor, or other offences related to human trafficking;
3. Having created an entity in a different jurisdiction (i) with the the intention of avoiding tax or social obligations, or any other legal obligation applicable in the jurisdiction of its registered office, central administration or principal place of business, or (ii) for being an entity created with the intention of avoiding such obligations;

2.3 Having been subject within the past five years to a Contract termination fully settled against us for significant or persistent breach of our contractual obligations during the performance of the Contract, unless this termination was challenged and dispute resolution is still pending or has not confirmed a full settlement against us;

2.4 Having been declared ineligible by one of the multilateral development banks signatories to the Mutual Recognition Agreement of 9 April 2010[[4]](#footnote-4) (in the event of such ineligibility, we may attach additional information to this Statement of Integrity showing that we consider that such ineligibility is not relevant in the context of the Contract, where applicable);

2.5 Not having fulfilled our fiscal obligations relating to the payments of our taxes or social contributions in accordance with the legal provisions of our country of incorporation or of the country of the Contracting Authority;

2.6 Having created falsified documents or committed misrepresentation when providing the information requested by the Contracting Authority in the context of the procurement and award process for this Contract.

1. We hereby certify that neither we, nor any party acting on our behalf[[5]](#footnote-5), nor any members of our joint venture, , , nor any of our subcontractors, nor any of our direct or indirect shareholders, nor any of our subsidiaries acting with our knowledge or consent:
	1. Are directly or indirectly subject to, controlled by a person or an entity subject to, or acting in the name or on behalf of a person or entity subject to **individual sanctions** **measures** adopted by the United Nations, the European Union and/or France;
	2. Are directly or indirectly subject to, controlled by a person or an entity subject to, or acting in the name or on behalf of a person or entity subject to **sectoral sanctions** **measures** adopted by the United Nations, the European Union and/or France;
	3. Are ineligible for the implementation of the Project owing to any other international sanctions measures pronounced by the United Nations, the European Union or France.
2. We hereby certify that neither we, nor any party acting on our behalf,2 nor any of the members of our joint venture, nor any of our subcontractors, are [nor have been *(in the case of refinancing for a Contract already awarded)*] in any of the following situations of conflict of interest:

4.1 Being a shareholder controlling the Contracting Authority or a subsidiary controlled by the Contracting Authority, unless the resulting conflict of interest has been brought to the attention of AFD and resolved to its satisfaction.

4.2 Having business or family relations with a member of the Contracting Authority’s services involved in the procurement process or the supervision of the resulting Contract, unless the resulting conflict of interest has been brought to the attention of AFD and resolved to its satisfaction;

4.3 Controlling or being controlled by another applicant, bidder or consultant, or being under common with another applicant, bidder or consultant, receiving subsidies from another applicant, bidder or consultant, or granting subsidies to another applicant, bidder or consultant, directly or indirectly, having the same legal representative as another applicant, bidder or consultant, maintaining direct or indirect contacts with another applicant, bidder or consultant allowing us to (i) have given and/or give access to information contained in our respective applications, bids or proposals likely to distort competition (ii) influence them, or (iii) influence the decisions of the Contracting Authority;

4.4 Being engaged for a consulting services mission which, by its nature, is or may be in conflict with the mission envisaged for the Contracting Authority;

4.5 Having prepared ourselves, being or having been associated with a natural or legal person who has prepared, specifications, terms of reference or other documents that have been used for the procurement process in question, and that contain provisions likely to favor an application, bid or proposal;

4.6 Having or having had access to, having prepared ourselves, being or having been associated with a natural or legal person who has or has had access to or prepared, specifications, plans, calculations, studies, or other documents that have not been communicated to all the applicants, bidders or consultants in the context of the present procurement procedure, and which thereby confer us an unfair competitive advantage;

4.7 In the case of a procurement procedure for works, plants, equipment or goods, having been selected ourselves or proposed to be selected (or any of our subsidiary companies having been or being proposed to be selected) to carry out supervision or inspection of the services in the context of this Contract.

1. If we are a state-owned entity or a public enterprise, to participate in a competitive procurement process, we certify that we have legal and financial autonomy and that we operate under commercial laws and regulations.
2. In the context of the procurement and performance of the Contract:

6.1 Neither we, nor any party acting on our behalf,2 nor any members of our joint venture, nor any of our subcontractors, have committed or shall commit a Prohibited Practice as defined in the document entitled “AFD Group’s Policy to Prevent and Combat Prohibited Practices” available on AFD’s Website.[[6]](#footnote-6)

6.2 Neither we, nor or any party acting on our behalf,2 nor any members of our joint venture, nor any of our subcontractors, shall acquire or provide [have acquired or provided *(in the case of refinancing for a Contract already awarded)*] in sectors subject to an embargo by the United Nations, the European Union or France.

1. We hereby undertake to, and we undertake to ensure that any party acting on our behalf,2 any members of our joint venture, and any of our subcontractors undertake to:

7.1 Comply with the environmental standards recognized by the international community, including the international conventions for the protection of the environment and, in particular, take all reasonable steps to avoid or limit negative effects on vegetation, biodiversity, soils, groundwater and surface water, and on persons and property resulting from pollution, noise, vibration, traffic and other effects resulting from our activities, in accordance with the laws and regulations applicable in the country of performance of the Contract.

7.2 Implement measures to mitigate environmental and social risks when they are indicated in the environmental and social management plan provided by the Contracting Authority, and ensure that the emissions, surface discharge and effluents produced by our activities respect the limits, specifications or requirements applicable to the Contract.

7.3 Respect the rights of workers related to wages, working hours, rest periods and vacations, overtime, minimum age, regular payments, compensation and benefits, in accordance with the standards recognized by the international community, including the fundamental conventions of the International Labour Organization (ILO), in accordance with the laws and regulations applicable in the country of performance of the Contract; indicate these elements in a document annexed to the employment contracts of our employees and made available to the Contracting Authority; and respect and facilitate the rights of workers to organize themselves and set up a complaints management mechanism for direct or indirect workers.

7.4 Implement practices for non-discrimination and equal opportunities, and ensure the prohibition of child labor and forced labor.

7.5 Keep a record for each member of the local staff recording the hours worked by each person, the type of work, the wages paid and the training undertaken, and ensure that these records are available at all times to be inspected by the Contracting Authority and the authorized representatives of the government, in accordance with the laws and regulations applicable to the protection of personal data in the country of performance of the Contract.

1. We, any party acting on our behalf,2 the members of our joint venture, our subcontractors, our direct or indirect shareholders, and our subsidiaries, authorize AFD to conduct investigations and, in particular, inspect the documents and accounting records relating to the procurement and performance of the Contract, including, but not limited to, our internal processes and rules related to the respect of international sanctions pronounced by the United Nations, the European Union and/or France, and to have them verified auditors appointed by AFD.
2. We declare that we have paid, or that we shall pay, the commissions, benefits, fees, gratuities or charges relating to the procurement procedure or the performance of the Contract to the following third party/parties (for example, an intermediary/agent)(\*):

|  |  |  |  |
| --- | --- | --- | --- |
| **Name of beneficiary** | **Contact details** | **Purpose** | **Amount (indicate the currency)** |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

(\*): If no amount has been paid or is to be paid, indicate “None”.

1. We undertake to promptly inform the Contracting Authority, which shall inform AFD, of any change of circumstance regarding the sections above, including in case of any sanctions or embargo measures adopted by the United Nations, the European Union and/or France, after we have signed the present Statement.

Name: In the capacity of:

Duly empowered to sign in the name and on behalf of:[[7]](#footnote-7)

Signature:

Dated:

# Form ELI–1.1:**Applicant Information Form**

Date: *[insert day, month, year]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

|  |
| --- |
| Applicant's name: *[insert full name]* |
| In case of Joint Venture (JV), name of each member: *[insert full name of each member in JV]* |
| In case of a JV, Applicant's actual or intended country of constitution: *[indicate country of Constitution]* |
| Applicant's actual or intended year of constitution: *[indicate year of Constitution]* |
| Applicant's legal address (in country of constitution): *[insert street / number / town or city / country]* |
| Applicant's authorized representative information:Name: *[insert full name]*Address: *[insert street / number / town or city / country]*Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]*Email address: *[indicate email address]* |
| 1. Attached are copies of original documents of:
* Articles of Constitution (or equivalent documents of association), of the legal entity named above.
* In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.1.
* In case of state-owned enterprise or institution, in accordance with ITA 4.3, documents establishing:
* Legal and financial autonomy
* Operation under commercial law
* That the Applicant is not dependent agency of the Employer
1. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.
 |

Form ELI–1.2:
Applicant's JV Information Form

*[The following table shall be filled by each member of a Joint Venture and, if applicable, by any specialized subcontractor, and in that case substitute "Applicant´s JV member" for "specialized subcontractor".]*

Date: *[insert day, month, year]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

|  |
| --- |
| Applicant name: *[insert full name]* |
| Applicant's JV Member’s name: *[insert full name of Applicant's JV Member]* |
| Applicant's JV Member’s country of constitution: *[indicate country of constitution]* |
| Applicant’s JV Member’s year of constitution: *[indicate year of constitution]* |
| Applicant’s JV Member’s legal address in country of constitution: *[insert street / number / town or city / country]* |
| Applicant’s JV Member’s authorized representative information:Name: *[insert full name]*Address: *[insert street / number / town or city / country]*Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]*Email address: *[indicate email address]* |
| 1. Attached are copies of original documents of:
* Articles of Constitution (or equivalent documents of association), of the legal entity named above.
* In case of state-owned enterprise or institution, in accordance with ITA 4.3, documents establishing:
* Legal and financial autonomy
* Operation under commercial law
* That the Applicant is not dependent agency of the Employer
1. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.
 |

Form CON‑2:
Historical Contract Non‑Performance, Pending Litigation and Litigation History

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member’s Name: *[insert full name]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

|  |
| --- |
| **Non-Performed Contracts in accordance with Section III ‑ Evaluation and Prequalification Criteria** |
| * Contract non-performance did not occur since 1st January *[insert current year number less 5]* specified in Section III ‑ Evaluation and Prequalification Criteria, criterion 2.1.
* Contract(s) not performed since 1st January *[insert current year number less 5]* specified in Section III ‑ Evaluation and Prequalification Criteria, criterion 2.1, as indicated below:
 |
| **Year** | **Non‑performed portion of contract** | **Contract Identification** | **Total Contract Amount (current value, currency, exchange rate and Euro equivalent)** |
| *[insert year]* | *[insert amount and percentage]* | Contract Identification: *[indicate complete contract name/ number, and any other identification]* Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]*Reason(s) for non-performance: *[indicate main reason(s)]* | *[insert amount]* |

|  |
| --- |
| **Pending Litigation, in accordance with Section III ‑ Evaluation and Prequalification Criteria** |
| * No pending litigation in accordance with Section III ‑ Evaluation and Prequalification Criteria, criterion 2.3.
* Pending litigation in accordance with Section III ‑ Evaluation and Prequalification Criteria, criterion 2.3 as indicated below:
 |
| **Year of dispute** | **Amount in dispute (currency)** | **Contract Identification** | **Total Contract Amount (currency), EURO Equivalent (exchange rate)** |
| *[insert year]* | *[insert amount]* | Contract Identification: *[indicate complete contract name, number, and any other identification]*Name of Employer: *[insert full name]*Address of Employer: *[insert street/city/country]*Matter in dispute: *[indicate main issues in dispute]*Party who initiated the dispute: *[indicate "Employer" or "Contractor"]*Status of dispute: *[indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary, specify "pending" or "settled"]* | *[insert amount]* |
| *[insert year]* | *[insert amount]* | … | *[insert amount]* |
| *…* | *…* | … | *…* |

Form FIN–3.1:
Financial Situation and Performance

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member’s Name: *[insert full name]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

1. **Financial data**

|  |  |
| --- | --- |
| **Type of Financial information in*[indicate currency]*** | **Historic information for previous *[insert number, in figure and in words ]* years****(Amount in *[indicate currency, exchange rate, Euro equivalent]*)** |
| Year 1 2021 | Year 2 2022 | Year 3 2023 |
| **Statement of financial position (information from balance sheet)** |
| Total assets (TA) |  |  |  |
| Total financial liabilities[[8]](#footnote-8) |  |  |  |
| Total equity/Net worth (NW) |  |  |  |
| Current assets |  |  |  |
| Current liabilities |  |  |  |
| Working capital (WC) |  |  |  |
| **Information from income statement** |
| Total revenue |  |  |  |
| Earnings before interest, taxes, depreciation, and amortization (EBITDA)[[9]](#footnote-9) |  |  |  |
| Earnings before taxes (EBT) |  |  |  |
| **Cash flow information** |
| Cash flow from operating activities |  |  |  |

1. **Financial Documents**

The Applicant and, in case of a JV, each member, shall provide copies of financial statements for *three (3)* years pursuant Section III ‑ Evaluation and Prequalification Criteria, criterion 3.1. The financial statements shall:

1. Reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or subsidiary);
2. Be independently audited or certified in accordance with local legislation;
3. Be complete, including all notes to the financial statements;
4. Correspond to accounting periods already completed and audited.
* Attached are copies of financial statements[[10]](#footnote-10) for the *three (3)* years required above; and complying with the requirements.

Form FIN–3.2:
Annual Turnover

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member’s Name: *[insert full name]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

|  |  |
| --- | --- |
| **Year** | **Annual turnover data** |
| **Amount and Currency[[11]](#footnote-11)** | **Exchange rate** | **EURO Equivalent** |
| *[Indicate calendar year]* | *[insert amount and indicate currency]* | *[insert exchange rates used to calculate the EURO equivalent]* | *[insert EURO equivalent]* |
|  |   |  |  |
|  |   |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  | **Average Annual Turnover[[12]](#footnote-12)** |  |

Form FIN–3.3:
Sources of Finance

*[The following table shall be filled in for the Applicant and all members combined in case of a Joint Venture]*

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contract or contracts as specified in Section III ‑ Evaluation and Prequalification Criteria.

|  |
| --- |
| **Financial Resources** |
| **No.** | **Source of Financing** | **Amount (EURO equivalent)** |
| 1 |  |  |
| 2 |  |  |
| 3 |  |  |
| … |  |  |

Form FIN‑3.4:
Current Contract Commitments / Works in Progress

Applicants and each member to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

|  |
| --- |
| **Current Contract Commitments** |
| **No.** | **Name of Contract** | **Employer’s Contact Address, Tel, Fax** | **Value of Outstanding Work(Current EURO Equivalent)** | **Estimated Completion Date** | **Average Monthly Invoicing Over Last Six Months(EURO/month)** |
| 1 |  |  |  |  |  |
| 2 |  |  |  |  |  |
| 3 |  |  |  |  |  |
| 4 |  |  |  |  |  |
| 5 |  |  |  |  |  |
| … |  |  |  |  |  |

Form EXP–4.1:
General Experience

*[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member’s Name: *[insert full name]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

*[Identify contracts that demonstrate continuous construction work over the past seven (7) years pursuant to Section III ‑ Evaluation and Prequalification Criteria, criterion 4.1. List contracts chronologically, according to their commencement (starting) dates.]*

| **Starting Year** | **Ending Year** | **Contract Identification** | **Role of Applicant** |
| --- | --- | --- | --- |
| *[indicate year]*\_\_\_\_\_\_\_\_\_\_ | *[indicate year]*\_\_\_\_\_\_\_\_\_\_ | Contract Name: *[insert full name]*Brief description of the works performed by the Applicant: *[describe works performed briefly]*Amount of Contract: *[insert amount in currency, mention currency used, exchange rate and EURO equivalent]*Name of Employer: *[indicate full name]*Address: *[indicate street/number/town or city/country]* | *[insert "Prime Contractor" or "JV Member" or "Subcontractor" or "Management Contractor"]* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| … | … | … | … |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

Form EXP–4.2:
Specific Experience

*[The following table shall be filled in for contracts performed by the Applicant or each member of a Joint Venture ]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's Name: *[insert full name]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

|  |  |
| --- | --- |
| **Similar Contract No.:*[insert number]* of *[insert number of similar contracts required]*** | **Information** |
| Contract Identification: |  *[insert contract name and number, if applicable]* |
| Award Date: |  *[insert day, month, year, i.e., 15 June, 2015]* |
| Completion Date: |  *[insert day, month, year, i.e., 03 October, 2017]* |
| Role in Contract:*[check the appropriate box]* | Prime Contractor | Member in JV | Management Contractor | Subcontractor |
| Total Contract Amount: |  *[insert total contract amount in local currency]* | *\_\_\_\_\_\_\_\_\_* EURO*[insert Exchange rate and total contract amount in EURO equivalent]* |
| If member in a JV or subcontractor, specify participation in total Contract amount: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ %*[insert a percentage amount]* | *\_\_\_\_\_\_\_\_\_\_\_[insert total contract amount in local currency]* | *\_\_\_\_\_\_\_\_\_\_\_\_[insert exchange rate and total contract amount in EURO equivalent]* |
| Employer's Name: |  *[insert full name]* |
| Address: |  *[indicate street/number/town or city/country]* |
| Telephone/Fax numbers: |  *[insert telephone/fax numbers, including country and city area codes]* |
| Email: |  *[insert email address, if available]* |

**Form EXP–4.2:
Specific Experience (cont.)**

Applicant's Name: *[insert full name]*

Joint Venture Member’s Name: *[insert full name]*

|  |  |
| --- | --- |
| **Similar Contract No.:*[insert number]* of *[insert number of similar contracts required]*** | **Information** |
| **Description of the similarity in accordance with criterion 4.2(a) of Section III ‑ Evaluation and Prequalification Criteria** |
| 1. Amount
 |  *[insert amount in local currency, exchange rate, EURO in words and in figures]* |
| 1. Physical size of required works items
 |  *[insert physical size of items]* |
| 1. Complexity
 |  *[insert description of complexity]* |
| 1. Methods/Technology
 |  *[insert specific aspects of the methods/technology involved in the contract]* |
| 1. Construction rate for key activities
 |  *[insert rates and items]* |
| 1. Other Characteristics
 |  *[insert other characteristics as described in Section VII ‑ Scope of Works]* |

Form EXP - 4.3

Operation and Maintenance Experience

*[The following table shall be filled in for the Applicant’s O&M Services Provider]*

O&M Services Provider’s Name: *[insert full name]*Date: *[insert day, month, year]*Page *[insert page number]* of *[insert total number]* pages

*[Identify contracts that demonstrate experience in providing Operation and Maintenance for the type of facilities included in the Madaba WWTP project, pursuant to Section III, Qualification Criteria and Requirements, Requirement 4.3. List contracts chronologically, according to their commencement (starting) dates.]*

|  |  |  |
| --- | --- | --- |
| StartingMonth /Year | EndingMonth /Year | Contract Identification |
| *[indicate month/ year]* | *[indicate month/ year]* | Contract name: *[insert full name]*Brief Description of the Applicant’s O&M Services Provider: *[describe works performed briefly and duration]*Amount of contract: *[insert amount in EURO equivalent]*Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]* |
|  |  | Contract name: *[insert full name]*Brief Description of the Applicant’s O&M Services Provider: *[describe works performed briefly and duration]*Amount of contract: *[insert amount in EURO equivalent]*Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]* |
|  |  | Contract name: *[insert full name]*Brief Description of the Applicant’s O&M Services Provider: *[describe works performed briefly and duration]*Amount of contract: *[insert amount in EURO equivalent]*Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]* |
|  |  | Etc. |

Form EXP - 4.4

Design Experience in DB and/or DBO Wastewater Treatment Plants Projects

*[The following table shall be filled in for the Applicant’s Designer or the design subcontractor]*

Designer’s Name: *[insert full name]*Date: *[insert day, month, year]*Page *[insert page number]* of *[insert total number]* pages

*[Identify contracts that demonstrate experience in the design of Design/Build and/or Design/Build/Operate Wastewater Treatment Plant projects, pursuant to Section III, Qualification Criteria and Requirements, Requirement 4.4. List contracts chronologically, according to their commencement (starting) dates.]*

|  |  |  |
| --- | --- | --- |
| StartingMonth /Year | EndingMonth /Year | Contract Identification |
| *[indicate month/ year]* | *[indicate month/ year]* | Contract name: *[insert full name]*Brief Description of the Works designed by the Applicant’s designer: *[describe works performed briefly]*Amount of contract: *[insert amount in Euro equivalent]*Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]* |
|  |  | Contract name: *[insert full name]*Brief Description of the Works designed by the Applicant’s designer: *[describe works performed briefly]*Amount of contract: *[insert amount in Euro equivalent]*Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]* |
|  |  | Contract name: *[insert full name]*Brief Description of the Works designed by the Applicant’s designer: *[describe works performed briefly]*Amount of contract: *[insert amount in Euro equivalent]*Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]* |

Form CER:
Quality Management / Environmental, Social, Health and Safety (ESHS) Certification

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member’s Name: *[insert full name]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

Certification *[Quality / Environmental / Health and Security]*

*[Delete as appropriate]*

|  |  |
| --- | --- |
| **DESCRIPTION** | **INFORMATION** |
| Identification of the certificate: |  *[insert full name of the certificate]* |
| Date of Issue: |  *[insert day, month, year of first certificate award]* |
| Areas covered by the certificate: |  *[activities and locations]* |
| Expiry Date: |  *[insert day, month, year]* |
| Issuer's Name: |  *[insert full name]* |
| Address: |  *[insert street / number / town or city / country]* |
| Telephone/Fax numbers: |  *[insert phone/fax no., incl. country & city area codes]* |
| Email: |  *[insert email address, if available]* |
| Compliance with international standards | The certificate is *[ISO 9001, ISO 14001, ISO 45001] [select as appropriate]* Yes /  No |
| If no, proof of conformity with ISO standards by the Applicant | The Applicant shall provide a conformity assessment of its certificate by an internationally recognized Accredited Certification Body |

**The Applicant shall fill this Form for each Certification required under criterion 5.1 of Section III ‑ Evaluation and Prequalification Criteria.**

Form EXP–ESHS:
Environmental, Social, Health and Safety (ESHS) Experience

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member’s Name: *[insert full name]*

IPC No. and title: *[insert IPC number and title]*

Page *[insert page number]* of *[insert total number]* pages

| **Similar Contract No.:*[insert number]* of *[insert number of similar contracts required]*** | **Information** |
| --- | --- |
| Contract Identification: |  *[insert contract name and number, if applicable]* |
| Short Project Description (main scope and key values of project): |   *[insert short project description]* |
| Award date: |  *[insert day, month, year]* |
| Completion date: |  *[insert day, month, year]* |
| Role in Contract:*[check the appropriate box]* | Prime Contractor | Member in JV | Management Contractor | Subcontractor |
| Total Contract Amount: |  *[insert total contract amount in local currency]* | *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_[insert total contract amount in Euro equivalent]* |
| If party in a JV or subcontractor, specify participation of total contract amount: | \_\_\_\_\_\_\_\_\_\_\_\_ %*[insert a percentage amount]* | \_\_\_\_\_\_\_\_\_\_\_\_\_*[insert total contract amount in local currency]* | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*[insert total contract amount in Euro equivalent]* |
| Employer’s name: |  *[insert full name]* |
| Address: |   *[insert street / number / town or city / country]* |
| Telephone/Fax numbers: |  *[insert phone/fax numbers, including country and city area codes]* |
| Email: |  *[insert email address, if available]* |
| Description of the ESHS challenges and measures implemented under the contract: |  |
| 1. ESHS Challenge:
 | *[Insert description]* |
| 1. ESHS Risk Assessment Level:
 | *[Insert classification of risk assessment as per development bank classification if applicable]* |
| 1. ESHS implemented measures (as per criterion 5.3 of Section III ‑ Evaluation and Prequalification Criteria):
 | *[Provide a document supporting the implementation of ESHS measures, acceptable to the Employer[[13]](#footnote-13)]* |
| 1. ESHS Knowhow Transfer or ESHS Local Staff Capacity Building (as per criterion 5.4, if any, of Section III ‑ Evaluation and Prequalification Criteria):
 | *[Provide evidence of successful[[14]](#footnote-14):** *ESHS knowhow transfer to local partners or subcontractors; or*
* *ESHS capacity building to local staff under the contract.]*
 |

1. In the case of a Contract already signed to be refinanced. [↑](#footnote-ref-1)
2. Directors, (including any person who is a member of the administrative management or supervisory body, or with powers of representation, decision or control), employees, or agents (be them declared or not). [↑](#footnote-ref-2)
3. Including the Judicial Public Interest Agreement (CJIP), a decision following an Appearance on Prior Recognition of Guilt (CPRC), a negotiated resolution agreement, or any other similar form of transaction terminating criminal proceedings. [↑](#footnote-ref-3)
4. World Bank, Inter-American Development Bank, African Development Bank, Asian Development Bank, and European Bank for Reconstruction and Development. [↑](#footnote-ref-4)
5. Directors, (including any person who is a member of the administrative management or supervisory body, or with powers of representation, decision or control), employees or agents (be them declared or not). [↑](#footnote-ref-5)
6. For informational purposes, this policy can be accessed *via* the following link: <https://www.afd.fr/en/combating-corruption>. [↑](#footnote-ref-6)
7. In the case of a joint venture, insert the name of the joint venture. The person signing the bid, proposal or application on behalf of the bidder, consultant or applicant, shall attach a power of attorney from such bidder, consultant or applicant. [↑](#footnote-ref-7)
8. Means any financial indebtedness for and in respect of:

any monies borrowed on a short, medium or long-term basis;

any bank overdraft;

any amounts raised pursuant to any bills of exchange issued to a third party (or any dematerialised equivalent of such instrument);

any amounts raised pursuant to any note purchase facility or the issue of bonds, notes, debentures, loan stock or any similar instruments;

the amount of any liability in respect of any lease or hire purchase contract which would be treated as a finance or capital lease;

receivables sold or discounted (other than any receivables to the extent they are sold on a non-recourse basis). [↑](#footnote-ref-8)
9. Means, for any given year, the aggregate of:

(+) net income

(+) tax expense

(+/-) extraordinary income or expense

(+/-) financial result

(+/-) net foreign exchange losses or gains

(+) net depreciation and amortization allowances and provisions [↑](#footnote-ref-9)
10. If the most recent set of financial statements is for a period earlier than 12 months from the date of application, the reason for this should be justified. [↑](#footnote-ref-10)
11. The indicated turnover amounts must be identical as those appearing on the financial statements. [↑](#footnote-ref-11)
12. See Section III ‑ Evaluation and Prequalification Criteria, criterion 3.2. [↑](#footnote-ref-12)
13. For example ESHS activity reports, ESHS final reports, ESHS inspection reports, supervision Engineer's reports, etc. Only documents evidencing implementation of ESHS measures shall be accepted. [↑](#footnote-ref-13)
14. For example ESHS activity reports presenting training activities, training materials with attendance sheets, etc. Only documents evidencing ESHS knowhow transfer or ESHS local staff capacity building shall be accepted. [↑](#footnote-ref-14)